

European Energy Efficiency Fund (eeef): S&E related questions Financial Institution Investments

Social and Environmental questionnaire

1. Does the FI have a S&E policy and an in-house SEMS which includes requirements for its financing / investment decisions? Please provide a brief description of the FI's past experiences and existing capabilities, including specific S&E policies, if applicable.
2. Does the institution ensure that its staff is aware of and follows the processes and procedures defined by its SEMS (e.g. through training, workshops)?
 - Does the FI perform periodic internal or external audits?
3. Does the FI's SEMS undergo a management review at planned intervals?
4. Has the institution been working with other Development Finance Institution (DFIs) e.g. the European Investment Bank or other regional development banks etc.?
5. Does the institution have experience in preparing an annual S&E report e.g. for DFIs, considering their S&E guidelines, along with the specific requirements of such DFIs?
6. Are there past and current activities/conflicts relating to S&E issues and any impacts/ effects on reputational issues?
7. Does the institution's portfolio include projects with potential high S&E risks?
8. Does the institution have experience in screening its clients for compliance with S&E standards within the applicable laws and regulations?
9. Does the FI have procedures to categorize and assess projects and clients associated with S&E risks?
10. Does the institution have a system in place to ensure compliance with eeef Exclusion List?

11. Does the institution request Environmental (Social) Impact Assessments (E(S)IAs) when financing RE or complex EE projects such as solar energy, hydro, biomass, wind, CHP, district heating, complex building retrofits etc.?
12. Does the institution have adequate labour practices, especially a human resources policy and terms of employment (e.g. rights for freedom of association and non-discrimination, grievance mechanism, and labour cases)?
13. Are there any policies on anti-corruption and anti-bribery consistent with the United Nations Convention against Corruption in place in the institution?
14. Are there any convictions and amount of fines occurred for violations of anti-corruption and anti-bribery laws in the institution?? If yes, please specify details.
15. Are there any cases of insufficient action taken to address breaches of standards of anti-corruption and anti-bribery in the institution? If yes, please specify details.